



County Hall
Cardiff
CF10 4UW
Tel: (029) 2087 2000

Neuadd y Sir
Caerdydd
CF10 4UW
Ffôn: (029) 2087 2000

AGENDA

Committee	AUDIT COMMITTEE
Date and Time of Meeting	TUESDAY, 13 NOVEMBER 2018, 2.00 PM
Venue	COMMITTEE ROOM 1, COUNTY HALL, ATLANTIC WHARF, CARDIFF
Membership	Independent Members: Ian Arundale (Chair) Gavin McArthur, David Price and David Hugh Thomas Councillors Bale, Cowan, Cunnah, Howells, Lay, McGarry, Singh and Walker

*Time
approx.*

- | | | |
|------------|---|---------|
| 1 | Apologies for Absence | 2.00 pm |
| | To receive apologies for absence. | |
| 2 | Declarations of Interest | |
| | To be made at the start of the agenda item in question, in accordance with the Members' Code of Conduct. | |
| 3 | Minutes (<i>Pages 3 - 12</i>) | |
| | To approve as a correct record the minutes of the meeting held on 18 September 2018. | |
| 4 | Operational Matters | |
| 4.1 | Sarah McGill, Director People & Communities - Update on Internal Control Environment (<i>Pages 13 - 180</i>) | 2.05 pm |
| 4.2 | Davina Fiore, Director Legal, Governance and Monitoring Officer - Update on Internal Control Environment (<i>Pages 181 - 196</i>) | 2.25 pm |
| 4.3 | Isabelle Bignall, Chief Digital Officer - Cyber Security (<i>Pages 197 - 208</i>) | 2.45 pm |

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5	Finance	3.05 pm
5.1	Financial Update including Resilience Issues (<i>Pages 209 - 212</i>)	
6	Governance and Risk Management	3.15 pm
6.1	Corporate Risk Management (Mid-Year) (<i>Pages 213 - 236</i>)	
7	Wales Audit Office	3.25 pm
7.1	WAO Performance Audit Work Programme Update (<i>Pages 237 - 242</i>)	
8	WAO Tracker / Other Studies	3.35 pm
9	Treasury Management	3.40 pm
9.1	Half Year Report (<i>Pages 243 - 268</i>)	
9.2	Performance Report (<i>Pages 269 - 274</i>)	
10	Internal Audit	4.00 pm
10.1	Audit Team Progress Report 2018/19 (<i>Pages 275 - 352</i>)	
10.2	Investigation Team Progress Report 2018/19 (<i>Pages 353 - 392</i>)	
11	Scrutiny Correspondence (Scrutiny Committee Activity)	4.20 pm
12	Outstanding Actions (<i>Pages 393 - 394</i>)	
13	Work Programme Update (<i>Pages 395 - 396</i>)	
14	Urgent Items (if any)	
15	Date of next meeting	

The next meeting will be held on 22 January 2019.

Davina Fiore
Director Governance & Legal Services
Date: Wednesday, 7 November 2018
Contact: Graham Porter,
02920 873401, g.porter@cardiff.gov.uk